

## Biocon Limited



### Shareholding Pattern for the Quarter Ended on June 30, 2020

#### General Information about the Company

Scrip code	532523
NSE Symbol	BIOCON
MSEI Symbol	NOT LISTED
ISIN	INE376G01013
Name of the company	Biocon Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	June 30, 2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	NA
Shareholding pattern filed under	Regulation 31 (1) (b)

S. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the Listed Entity has significant beneficial owner?	Yes

Table I - Summary Statement holding of specified securities

(I) Category	Shareholding Pattern for the Quarter Ended on September 30, 2019 (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting (XIV) Rights			Total as a % of (A+B+C)					Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		
								Class eg: X	Class eg: Y	Total						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	6	72,80,15,676			72,80,15,676	60.67	72,80,15,676		72,80,15,676	60.67			60.67	-	-	-	-	72,80,15,676	
(B)	Public	2,29,279	45,83,64,678	-	-	45,83,64,678	38.20	45,83,64,678		45,83,64,678	38.20	-	-	38.20	-	-	-	-	45,56,19,747	
(C)	Non- Promoter- Non- Public			-	-	-		-		-		-	-		-	-	-	-		
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	-	-	-	-	-	-	-	-	
(C2)	Shares held by Employee Trusts	1	1,36,19,646	-	-	1,36,19,646	1.13	1,36,19,646		1,36,19,646	1.13	-	-	1.13	-	-	-	-	1,36,19,646	
	<b>TOTAL</b>	<b>2,29,286</b>	<b>1,20,00,00,000</b>	-	-	<b>1,20,00,00,000</b>	<b>100.0</b>	<b>1,20,00,00,000</b>		<b>1,20,00,00,000</b>	<b>100.00</b>	-	-	<b>100.00</b>	-	-	-	-	<b>1,19,72,55,069</b>	

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X)	Number of Locked in (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)
								No. of Voting (XIV) Rights			Total % (A+B+C)			No.(a)	As a % of Total Shares held (b)	No.(a)	As a % of Total Shares held (b)	
								Class X	Class Y	Total								
<b>A</b>	<b>TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP</b>																	
<b>1</b>	<b>INDIAN</b>																	
(a)	Individual/HUF	2	47,70,25,596	-	-	47,70,25,596	39.75	47,70,25,596	-	47,70,25,596	39.75	-	39.75	-	-	-	-	47,70,25,596
	Kiran Mazumdar Shaw	1	47,57,25,384	-	-	47,57,25,384	39.64	47,57,25,384	-	47,57,25,384	39.64	-	39.64	-	-	-	-	47,57,25,384
	Yamini R Mazumdar	1	13,00,212	-	-	13,00,212	0.11	13,00,212	-	13,00,212	0.11	-	0.11	-	-	-	-	13,00,212
(d)	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	2	47,70,25,596	-	-	47,70,25,596	39.75	47,70,25,596	-	47,70,25,596	39.75	-	39.75	-	-	-	-	47,70,25,596
<b>2</b>	<b>FOREIGN</b>																	
	J M M Shaw	1	84,45,348	-	-	84,45,348	0.70	84,45,348	-	84,45,348	0.70	-	0.70	-	-	-	-	84,45,348
	Ravi Mazumdar	1	48,15,084	-	-	48,15,084	0.40	48,15,084	-	48,15,084	0.40	-	0.40	-	-	-	-	48,15,084
	Dev Mazumdar	1	5,18,484	-	-	5,18,484	0.04	5,18,484	-	5,18,484	0.04	-	0.04	-	-	-	-	5,18,484
	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Glentec International	1	23,72,11,164	-	-	23,72,11,164	19.77	23,72,11,164	-	23,72,11,164	19.77	-	19.77	-	-	-	-	23,72,11,164
	Sub-Total (A)(2)	4	25,09,90,080	-	-	25,09,90,080	20.92	25,09,90,080	-	25,09,90,080	20.92	-	20.92	-	-	-	-	25,09,90,080
	<b>Total Shareholding(A)= (A)(1)+(A)(2)</b>	<b>6</b>	<b>72,80,15,676</b>	<b>-</b>	<b>-</b>	<b>72,80,15,676</b>	<b>60.67</b>	<b>72,80,15,676</b>	<b>-</b>	<b>72,80,15,676</b>	<b>60.67</b>	<b>-</b>	<b>60.67</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>72,80,15,676</b>
<b>B</b>	<b>TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER</b>																	
<b>1</b>	<b>Institutions</b>																	
(a)	Mutual Funds	26	5,62,27,293	-	-	5,62,27,293	4.69	5,62,27,293	-	5,62,27,293	4.69	-	4.69	-	-	-	-	5,62,27,293
	ICICI PRUDENTIAL MIDCAP SELECT ETF	1	1,44,27,420	-	-	1,44,27,420	1.20	1,44,27,420	-	1,44,27,420	1.20	-	1.20	-	-	-	-	1,44,27,420
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE TAX RELIEF	1	1,27,82,271	-	-	1,27,82,271	1.07	1,27,82,271	-	1,27,82,271	1.07	-	1.07	-	-	-	-	1,27,82,271
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	3	1,09,648	-	-	1,09,648	0.01	1,09,648	-	1,09,648	0.01	-	0.01	-	-	-	-	1,09,648
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	401	19,11,71,585	-	-	19,11,71,585	15.93	19,11,71,585	-	19,11,71,585	15.93	-	15.93	-	-	-	-	19,11,71,585
	SOCIETE GENERALE	1	1,21,22,851	-	-	1,21,22,851	1.01	1,21,22,851	-	1,21,22,851	1.01	-	1.01	-	-	-	-	1,21,22,851
	AHAN- I LTD	1	1,99,48,374	-	-	1,99,48,374	1.66	1,99,48,374	-	1,99,48,374	1.66	-	1.66	-	-	-	-	1,99,48,374
(f)	Financial Institutions/ Banks	5	2,12,54,515	-	-	2,12,54,515	1.77	2,12,54,515	-	2,12,54,515	1.77	-	1.77	-	-	-	-	2,12,54,515
	LIFE INSURANCE CORPORATION OF INDIA	1	1,85,42,928	-	-	1,85,42,928	1.55	1,85,42,928	-	1,85,42,928	1.55	-	1.55	-	-	-	-	1,85,42,928
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	QUALIFIED INSTITUTIONAL BUYER	10	85,88,634	-	-	85,88,634	0.72	85,88,634	-	85,88,634	0.72	-	0.72	-	-	-	-	85,88,634
	Sub-Total (B)(1)	445	27,73,51,675	-	-	27,73,51,675	23.11	27,73,51,675	-	27,73,51,675	23.11	-	23.11	-	-	-	-	27,73,51,675
<b>2</b>	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>3</b>	<b>Non-institutions</b>																	
(a(i))	Individuals -i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.	2,21,487	7,85,93,599	-	-	7,85,93,599	6.55	7,85,93,599	-	7,85,93,599	6.55	-	6.55	-	-	-	-	7,85,64,710

(a)(ii)	Individuals -ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	218	4,79,67,748	-	-	4,79,67,748	4.00	4,79,67,748	-	4,79,67,748	4.00	-	4.00	-	-	-	-	4,78,72,768
	ARUN SURESH CHANDAVARKAR	1	1,32,00,000	-	-	1,32,00,000	1.10	1,32,00,000	-	1,32,00,000	1.10	-	1.10	-	-	-	-	1,32,00,000
(b)	NBFCs registered with RBI	5	14,270	-	-	14,270	0.00	14,270	-	14,270	0.00	-	0.00	-	-	-	-	14,270
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	<b>Any Other (specify)</b>	<b>7,124</b>	<b>5,44,37,386</b>			<b>5,44,37,386</b>	<b>4.54</b>	<b>5,44,37,386</b>		<b>5,44,37,386</b>	<b>4.54</b>		<b>4.54</b>					<b>5,18,16,324</b>
	BENEFICIAL HOLDINGS UNDER MGT-4 TRUSTS	3	55,44,200			55,44,200	0.46	55,44,200		55,44,200	0.46		0.46					55,44,200
	NON RESIDENT INDIANS	3,684	44,92,787			44,92,787	0.37	44,92,787		44,92,787	0.37		0.37					34,58,423
	CLEARING MEMBERS	282	19,98,061			19,98,061	0.17	19,98,061		19,98,061	0.17		0.17					19,98,061
	NON RESIDENT INDIAN NON REPATRIABLE	2,011	39,65,416			39,65,416	0.33	39,65,416		39,65,416	0.33		0.33					39,65,322
	BODIES CORPORATES	1,117	1,23,47,930			1,23,47,930	1.03	1,23,47,930		1,23,47,930	1.03		1.03					1,23,47,930
	I E P F	1	84,228			84,228	0.01	84,228		84,228	0.01		0.01					84,228
	FOREIGN NATIONAL INDIVIDUALS	13	42,54,931			42,54,931	0.35	42,54,931		42,54,931	0.35		0.35					26,68,327
	<b>Sub-Total (B)(3)</b>	<b>2,28,834</b>	<b>18,10,13,003</b>			<b>18,10,13,003</b>	<b>15.08</b>	<b>18,10,13,003</b>		<b>18,10,13,003</b>	<b>15.08</b>		<b>15.08</b>					<b>17,82,68,072</b>
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>	<b>2,29,279</b>	<b>45,83,64,678</b>			<b>45,83,64,678</b>	<b>38.20</b>	<b>45,83,64,678</b>		<b>45,83,64,678</b>	<b>38.20</b>		<b>38.20</b>					<b>45,56,19,747</b>

C	TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER																	
-1	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(2)	Biocon India Limited Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MR. AMITAVA SAHA	1	1,36,19,646	-	-	1,36,19,646	1.13	1,36,19,646		1,36,19,646	1.13	-	1.13	-	-	-	-	1,36,19,646
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>	<b>1</b>	<b>1,36,19,646</b>			<b>1,36,19,646</b>	<b>1.13</b>	<b>1,36,19,646</b>		<b>1,36,19,646</b>	<b>1.13</b>		<b>1.13</b>					<b>1,36,19,646</b>
	<b>Total (A+B+C)</b>	<b>2,29,286</b>	<b>1,20,00,00,000</b>			<b>1,20,00,00,000</b>	<b>100.00</b>	<b>1,20,00,00,000</b>		<b>1,20,00,00,000</b>	<b>100.00</b>		<b>100.00</b>					<b>1,19,72,55,069</b>
	<b>Total (A+B+C)</b>	<b>2,29,286</b>	<b>1,20,00,00,000</b>			<b>1,20,00,00,000</b>	<b>100.00</b>	<b>1,20,00,00,000</b>		<b>1,20,00,00,000</b>	<b>100.00</b>		<b>100.00</b>					<b>1,19,72,55,069</b>
	Disclosure of notes on shareholding pattern:																	

Name Mayank Verma  
Designation Company Secretary and Compliance Officer  
Place Bengaluru  
Date July 11, 2020